



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/659,878  
Filed : September 11, 2003  
Applicants : Shridhar P. Joshi  
Alfred Thomas  
Title : Gaming Machine With Multi-Level Progressive Jackpot  
TC/A.U. : 3713  
Examiner : Not Assigned  
Docket No. : 47079-00225USPT  
Customer No. : 30223

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 2, 2004.

Signature: Janet L. Newmaker  
Janet L. Newmaker

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. §§ 1.97 AND 1.98**

Dear Sir:

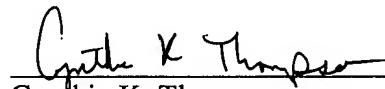
In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered, and the references listed on enclosed Form PTO-1449 be considered by the Examiner and made of record. In accordance with 37 C.F.R. § 1.98(a)(2)(i), only copies of the foreign references and other documents are enclosed.

In accordance with 37 C.F.R. §§ 1.97(g) and (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, or an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability, as defined in 37 C.F.R. § 1.56.

This Information Disclosure Statement is being filed prior to receipt of a first Office Action reflecting an examination on the merits and, hence, is believed to be timely in accordance with 37 C.F.R. § 1.97(b). Accordingly, no fee is believed to be due. Should any fee be deemed necessary (except payment of the issue fee), however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 10-0447 (47079-00225USPT). A duplicate copy of this Statement is enclosed for that purpose.

Respectfully submitted,

Date: January 2, 2004

  
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Form PTO-1449 (modified)

## LIST OF ART CITED BY APPLICANT



Sheet 1 of 3

Application No.: 10/659,878  
 Filing Date: September 11, 2003  
 First Named Inventor: Shridhar P. Joshi  
 Group Art Unit: 3713  
 Examiner: Not Assigned  
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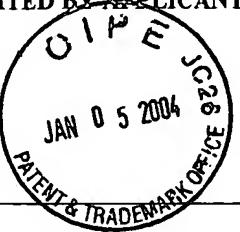
## U.S. PATENT DOCUMENTS

Examiner Initial	Ref.	Document Number	Date	Name	Class	Sub-Class	Filing Date (if Application)
	A1	4,624,459	11/25/1986	Kaufman	273	143 R	
	A2	5,116,055	05/26/1992	Tracy	273	138 A	
	A3	5,377,973	01/03/1995	Jones et al.	273	85C P	
	A4	5,524,888	06/11/1996	Heidel	463	22	
	A5	5,564,700	10/15/1996	Celona	463	27	
	A6	5,580,309	12/03/1996	Piechowiak et al.	463	16	
	A7	5,611,730	03/18/1997	Weiss	463	20	
	A8	5,655,961	08/12/1997	Acres et al.	463	27	
	A9	5,779,549	07/14/1998	Walker et al.	463	42	
	A10	5,816,918	10/06/1998	Kelly et al.	463	16	
	A11	5,876,284	03/02/1999	Acres et al.	463	25	
	A12	5,885,158	03/23/1999	Torango et al.	463	27	
	A13	5,941,773	08/24/1999	Harlick	463	26	
	A14	6,007,427	12/28/1999	Wiener et al.	463	17	
	A15	6,012,982	01/11/2000	Piechowiak et al.	463	16	
	A16	6,047,963	04/11/2000	Pierce et al.	273	121 B	
	A17	6,089,977	07/18/2000	Bennett	463	20	
	A18	6,110,043	08/29/2000	Olsen	463	27	
	A19	6,139,013	10/31/2000	Pierce et al.	273	121 B	
	A20	6,146,273	11/14/2000	Olsen	463	27	
	A21	6,155,925	12/05/2000	Giobbi et al.	463	20	
	A22	6,158,741	12/12/2000	Koelling	273	292	
	A23	6,159,097	12/12/2000	Gura	463	20	
	A24	US 6,217,448 B1	04/17/2001	Olsen	463	25	

EXAMINER

DATE CONSIDERED

\*Examiner: Initial if citations considered, whether or not citation is in conformation with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Form PTO-1449 (modified)		Application No.:	10/659,878
<b>LIST OF ART CITED BY APPLICANT</b>		Filing Date:	September 11, 2003
 Sheet 2 of 3		First Named Inventor:	Shridhar P. Joshi
		Group Art Unit:	3713
		Examiner:	Not Assigned
		Attorney Docket No.:	47079-00225USPT

#### U.S. PATENT DOCUMENTS (continued)

Examiner Initial	Ref.	Document Number	Date	Name	Class	Sub-Class	Filing Date (if Application)
	A25	US 6,220,593 B1	04/24/2001	Pierce et al.	273	138.1	
	A26	US 6,224,484 B1	05/01/2001	Okuda et al.	463	27	
	A27	US 6,231,445 B1	05/15/2001	Acres	463	42	
	A28	US 6,254,483 B1	07/03/2001	Acres	463	26	
	A29	US 6,315,660 B1	11/13/2001	DeMar et al.	463	16	
	A30	US 6,319,125 B1	11/20/2001	Acres	463	25	
	A31	US 6,319,127 B1	11/20/2001	Walker et al.	463	26	
	A32	US 6,336,859 B2	01/08/2002	Jones et al.	463	13	
	A33	US 6,345,824 B1	02/12/2002	Selitzky	273	292	
	A34	US 6,358,149 B1	03/19/2002	Schneider et al.	463	27	
	A35	US 6,375,567 B1	04/23/2002	Acres	463	25	
	A36	US 6,439,995 B1	08/27/2002	Hughs-Baird et al.	463	20	
	A37	US 6,482,089 B2	11/19/2002	DeMar et al.	463	20	
	A38	US 6,506,117 B2	01/14/2003	DeMar et al.	463	20	
	A39	US 6,508,707 B2	01/21/2003	DeMar et al.	463	16	
	A40	US 6,520,855 B2	02/18/2003	DeMar et al.	463	20	

#### FOREIGN PATENT DOCUMENTS

Examiner Initial	Ref.	Document Number	Date	Country	Class	Sub-Class	Translation Yes/No
	B1	CA 2 334 546 A1	08/07/2001	Canada	G07F	17/34	
	B2	EP 0 521 599 A1	01/07/1993	Europe	G07F	17/34	
	B3	DE 195 15 983 A1	11/07/1996	Germany	G07F	17/34	Abstract
	B4	DE 196 24 321 A1	01/02/1998	Germany	G07F	17/32	Abstract
	B5	GB 2 153 572 A	08/21/1985	U.K.	G07F	17/34	

EXAMINER	DATE CONSIDERED
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Sheet 3 of 3



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**FOREIGN PATENT DOCUMENTS (continued)**

Examiner Initial	Ref.	Document Number	Date	Country	Class	Sub-Class	Translation Yes/No
	B6	GB 2 181 589 A	04/23/1987	U.K.	G07F	17/34	
	B7	GB 2 242 300 A	09/25/1991	U.K.	G07F	17/34	
	B8	GB 2 333 880 A	08/04/1999	U.K.	G07F	17/34	
	B9	WO 99/03078 A1	01/21/1999	WIPO	G07F	17/34	
	B10	WO 99/19037 A1	04/22/1999	WIPO	A63F	9/22	
	B11	WO 01/33478 A1	05/10/2001	WIPO	G06F	19/00	

**OTHER DOCUMENTS (including author, title, date, pertinent pages, etc.)**

Examiner Initial	Ref.	Document Information
	C1	"Big Games Safari" Product Sheet, IGT, 24 pages (2000)
	C2	"Cast For Cash™" Product Sheet, WMS Gaming Inc., 4 pages (no date)
	C3	"New '97 Games," International Gaming & Wagering Business, 24 pages (March 1997)
	C4	"Multi-Pay Plus" Product Sheet, WMS Gaming Inc., 4 pages (1997)

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